10

PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031

August 11, 2003

Date

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Aaron Wininger

Total Number of Pages in This Submission

09/437,812 Application Number November 10, 1999 Filing Date Mark E. Pennell First Named Inventor 2141 Art Unit Nguyen, Quang N. **Examiner Name Attorney Docket Number** 50642.00002

ENCLOSURES (check all that apply)						
Fee Transmittal For	rm (in duplicate)	Assignment and Recordation Cover Sheet (for an Application)	After Allowance Communication to Group			
Request for Correct	ted Filing	Drawing(s) Sheets	Appeal Communication to Board of Appeals and Interferences			
Amendment and Ro	esponse	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	- X -	Petition	Proprietary Information			
☐ With RCE		RCE	Status Request			
Extension of Time	Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):			
Return Postcard		☐ Terminal Disclaimer ☐ Request for Refund	RECEIVED			
☐ IDS and Form 1449		CD, Number of CD(s)	AUG 1 4 2003			
Certified Copy of Priority Document(s)		Remarks	AUG 1 4 2003 Technology Center 2100			
Response to Missing Parts/ Incomplete Application			16CIIIIOIOA3 GOVERN			
Declaration/Oath						
	SIGNA	TURE OF APPLICANT, ATTORNEY, (OR AGENT			
Firm Squire, Sanders & 600 Hansen Way Palo Alto, CA 943		Reg. No. 45,229 Le Dempsey L.L.P.				
Signature	6					
Date	August 11, 2003					
CERTIFICATE OF MAILING						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual Signature case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

Under the Paperwork Reduction

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$)

TOTAL	AMOUNT	OF	PAYMENT

	Complete if Known
Application Number	09/437,812
Filing Date	November 10, 1999 RECEIVED
First Named Inventor	Mark E. Pennell
Examiner Name	Nguyen, Quang N. AUG 1 4 2003
Art Unit	2141
Attorney Docket No.	50642.00002 Technology Center 2100

PTO/SB/17 (05-03)

	METH	OD OF PA	MEN	T (check all	that apply)						FEE CA	ALCULATION (continued)	
		35 O. 1 A		. ,	r. r. 3 /			3. ADD	ITIONAL	FEES			
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order				Large	Entity	Small E	ntity						
☑ Deposit Ac	count:						1	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit						-		1051	130	2051	65	Surcharge - late filing fee or oath	
Account Number	Account 05-0150 Number				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.				
Danasit.							1	1053	130	1053	130	Non-English specification	
Deposit Account		Souire. San	ders &	Dempsey L.L	.P.			1812	2,520	1812	2,520	For filing a request for reexamination	
Name						1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
The Director is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☑ Credit any overpayments ☑ Charge any additional fee(s) during the pendency of this application				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
☐ Charge any	addit (e) ind	icated bel	ow. ex	cept for th	e filing fee	e application		1251	110	2251	55	Extension for reply within first month	
to the above-id	lentifie	d deposit	accou	int.				1252	410	2252	205	Extension for reply within second month	
		FEE	CAL	CULATION				1253	930	2253	465	Extension for reply within third month	
1. BASIC Large Entity	1	G FEE						1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee	Fee	Fee	Fe	e Descriptio	<u>on</u>			1255	1,970	2255	985	Extension for reply within fifth month	
Code (\$)	Co	• • •				Fee Paid	_	1401	320	2401	160	Notice of Appeal	
1001 750	200			ility filing fee			-	1402	320	2402	160	Filing a brief in support of an appeal	
1002 330	200	-		esign filing fe	е		_	1403	280	2403	140	Request for oral hearing	
1003 520 1004 750	200			ant filing fee eissue filing f	ee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	200	5 80	P٢	ovisional fillir	ng fee			1452	110	2452	55	Petition to revive - unavoidable	
	1						_	1453	1.300	2453	650	Petition to revive – unintentional	
		SUBTO	TAL (1)		(\$) 0		1501	1.300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CL	AIM F	FFS						1502	470	2502	235	Design issue fee	
Z. EXINA OL	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		E	Extra	Fee from	Fee		1503	630	2503	315	Plant issue fee	
_		_	_	Claims	below	Paid	_	1460	130	1460	130	Petitions to the Commissioner	
Total Claims	16	83 **	= 💆	×		= 0	╡	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q) [
Independent Claims	3	-13 **	= 0	x		= 0		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_	-	x		= 0		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fe	e l	Small E Fee	ntity Fee	Fee Desc	rintion			1809	750	2809	375	Filing a submission after final rejection	n
Code (\$)	Code	(\$)	-		0		1940	750	2810	375	(37 CFR § 1.129(a)) For each additional invention to be	
1202 18		2202	9	_	excess of 2		2	1810	/50	2810	313	examined (37 CFR § 1.129(b))	
1201 84		2201	42			n excess of					075		,
1203 28	30	2203	140			aim, if not p		1801	750	2801	375	Request for Continued Examination (RCE	"
1204 84	•	2204	42	original pa	atent	ent claims of		1802	900	1802	900	Request for expedited examination of a design application	
1205 18	3	2205	9	over origin		excess of 20	ailu	Other	fee (spec	cify)			
SUBTOTAL (2) (\$) 0					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0								
**or number	previo	ously paid,	if gre	ater; For Re	issues, se	e above							

SUBMITTED BY				Con	nplete (if applicable)
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500
	. 4			Date	August 11, 2003
Signature	- 4			Date	August 11, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Nguyen, Quang N.

Attorney Docket No. 50642.2

Group Art Unit:

2141

RECEIVED

PATENT

AUG 1 4 2003

Technology Center 2100

Mark E. Pennell, et al.

In Re Application of

09/437,812

Filing Date:

Serial No.

November 10, 1999

For: A Web Based Email Control Center

Mail Stop Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Sir:

In response to the office action mailed on May 14, 2003, please amend the application as follows: